Proton chemical shifts in NMR. Part 10.1 Bromine and iodine substituent chemical shifts (SCS) and an analysis of the contributions to the SCS in halocyclohexanes

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A model for the prediction of the proton chemical shifts in substituted alkanes (CHARGE4) has been extended to include a variety of bromo- and iodo-alkanes. These include iodo- and bromo-cyclohexane and trans-1,2-dibromocyclohexane, for which the proton chemical shifts in the distinct conformers have been obtained at low temperatures where the ring inversion is slow on the NMR timescale.

The bromine and iodine SCS are shown to be multifunctional. The short range effects (three bonds or less) are calculated from the partial atomic charges obtained from the CHARGE scheme. Bromine and iodine substituents β to a methine proton produce an enhanced SCS, which increases with the number of attached carbons, i.e. RR' CHX > RCHXY (R, R' = alkyl group). The long range (>three bonds) effects are shown to be due to the electric field of the C-X (X = Br or I) bond plus the steric effect of the halogen atom. This model predicts bromine SCS in a variety of cyclic bromoalkanes over 118 data points with an error of 0.07 ppm, and iodine SCS over 96 data points to 0.09 ppm. Systems considered include halooethanes, -propanes, -cyclohexanes, -bornanes, -norbornanes, -adamantanes and -steroids.

The accurate prediction of bromine and iodine SCS together with the previous analyses of the chloro and fluoro SCS allows the proton SCS in axial and equatorial halocyclohexanes to be analysed in terms of the calculated contributions in CHARGE4, i.e. charge, steric, linear electric field and magnetic anisotropy terms.

The β- and γ-halogen SCS are determined by charge effects. The β(CHX) proton chemical shifts are in the order F > I > Br > Cl for both the axial and equatorial conformers. This order is accounted for by a general electronegativity function plus a heavy atom effect (where I > Br > Cl). In contrast the γ (vicinal) proton SCS are in the order F < Cl < Br < I and also show no orientation dependence. These are given by a polarisability functional dependence in CHARGE4.

Protons in a 1,3-syn-diaxial orientation with the substituent, i.e. H-3,5-ax, in the axial conformer are heavily influenced by the direct steric effect of the halogen substituent for Cl, Br and I, but the influence of the C-X linear electric field is also appreciable. The other proton of this methylene group (H-3,5-ax) has a compensating ‘push-pull’ upfield shift. For fluorine no steric effects are observed. The C-X linear electric field term is the dominant interaction for the remaining protons. In particular all the proton SCS in the equatorial conformer (except the β and γ SCS) are given by this term. The general good agreement with the observed SCS supports this interpretation, though the influence of other interactions is possible for certain protons. No C-X anisotropy term was needed in this scheme.

The characterisation of the chlorine, bromine and iodine steric terms shows that the steric term coefficients are proportional to the polarisability, but not to the ionisation energy of the substituent, supporting the interpretation that this term is due to van der Waal’s interactions and not to the quadratic electric field.

Introduction

Although the effect of substituents on proton chemical shifts has been described in numerous investigations over many years there is still no generally accepted explanation of their substituent chemical shifts. Electric field, anisotropy and steric effects have all been proposed for bromine and iodine substituents but no quantitative explanation given.2,10 Bothner-By and Naar-Colín2 in a pioneering investigation noted the anomalous inductive effects of the higher halogens but were not able to quantify their explanations, apart from noting a correlation with the molecular electric dipole. A more rigorous analysis by Davis et al.3 considered bromine SCS on 11 methyl protons in bromoandrostanes, where all but three could be fitted solely by the C-Br electric field term. The anomalies were the same as for the chlorine SCS, being the 1,3-syn-diaxial effects from 2β-, 4β- and 6β-bromoandrostanes on the 19-CH3 protons, where errors of ca. 0.3 ppm arose unless the magnetic anisotropy term was included as well. Similar effects were noted for the bromine SCS in the ethylene ketal of 5-bromopentacyclodecan-6-one.

Schneider and co-workers4 analysed data for 11 protons (7 methyl) in bromo- and iodo-cholestanes and esterenes, and later for 3α- and 3β-bromo- and iodo-androstan-17-one5 where the electric field term produced results of the correct sign and magnitude in contrast to the anisotropy calculations. Differences for close substituents were considered to derive from inductive through bond effects, with a possible minor role for steric effects. In a further investigation Schneider and Jung6 noted that the bromine and iodine SCS in 9-halo-trans-decalins were consistent with the steroid data and thus predictable by electric field calculations alone, except for the shielded methine γ protons.

Abraham and co-workers8 obtained bromine SCS in 2-exo- and 2-endo-bromonorbornane, 1-bromo- and 2-bromo-adamantane and 2-exo-iodonorbornane and noted that both electric field and either van der Waal’s or anisotropy contributions were required to explain the chemical shifts. Kaiser et al.8
Table 1 Proton and carbon chemical shifts (δ) of bromo- and iodo-cyclohexane in 50:50 CDCl$_3$–CFC$_1$$_1$ at ~85°C

<table>
<thead>
<tr>
<th>Proton</th>
<th>Eq-Br</th>
<th>Ax-Br</th>
<th>Eq-I</th>
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<td>1-ax</td>
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<td>1-eq</td>
<td>—</td>
<td>4.805</td>
<td>—</td>
<td>4.960</td>
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<tr>
<td>2,6-ax</td>
<td>1.75*</td>
<td>1.81*</td>
<td>1.966</td>
<td>1.525</td>
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<tr>
<td>2,6-eq</td>
<td>2.334</td>
<td>2.076</td>
<td>2.447</td>
<td>2.063</td>
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<tr>
<td>3,5-ax</td>
<td>1.348</td>
<td>1.79*</td>
<td>1.358</td>
<td>1.72*</td>
</tr>
<tr>
<td>3,5-eq</td>
<td>1.80*</td>
<td>1.596</td>
<td>1.668</td>
<td>1.62*</td>
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<td>1.24*</td>
<td>1.299</td>
<td>1.261</td>
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<tr>
<td>4-eq</td>
<td>1.72*</td>
<td>1.78*</td>
<td>1.803</td>
<td>1.73*</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Carbon</th>
<th>Eq-Br</th>
<th>Ax-Br</th>
<th>Eq-I</th>
<th>Ax-I</th>
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<td>1</td>
<td>53.67</td>
<td>56.66</td>
<td>32.07</td>
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<td>2</td>
<td>38.28</td>
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<td>40.39</td>
<td>35.92</td>
</tr>
<tr>
<td>3</td>
<td>27.58</td>
<td>20.73</td>
<td>28.98</td>
<td>22.36</td>
</tr>
<tr>
<td>4</td>
<td>24.64</td>
<td>25.58</td>
<td>24.69</td>
<td>25.70</td>
</tr>
</tbody>
</table>

*Chemical shift cf. ¹H–C correlations.

Examined 3-endo- and 3-exo-bromo- and iodo-camphor and suggested that electric field and steric terms would explain the observed SCS, with inductive contributions on the beta protons.

In previous papers in this series a model (CHARGE4) for the calculation of proton chemical shifts in halo-substituted alkanes has been developed based on a semi-classical calculation of the partial atomic charges in these molecules together with quantitative evaluations of the polar, steric and anisotropic contributions of the substituents. The substituent effect of the fluorine substituent was shown to be due largely to the direct inductive effect of the fluorine for protons two bonds (β) and three bonds (γ) from the fluorine atom, but for more distant protons the influence of the electric field of the C–F bond was a major factor. Studies on the more complex case of the chlorine substituent showed that it was necessary to include the direct steric effects of the chlorine atom to obtain a quantitative explanation of chlorine SCS. For the more distant protons this steric term is deshielding and in the case of X···H steric effects on a CH$_3$ or CH$_2$ group the protons not directly experiencing the steric perturbation suffered a corresponding ‘push-pull’ shielding effect. In chloro-alkanes it was also shown that the steric term was distinct and greater than the minimal quadratic steric term as a van der Waal’s interaction still awaits confirmation.

We shall show here that the CHARGE4 scheme can give an accurate prediction of bromo and iodo SCS in a variety of substituted alkanes, thus these calculations give the first quantitative account of the halogen SCS in these systems. This good agreement allows us to consider the partitioning of the SCS into the various contributions given by CHARGE4. Here we shall compare and contrast the halogen SCS in the light of these calculations and determine their significance to the individual proton chemical shifts in cyclohexanes.

Computational model

In the CHARGE scheme the effect of a substituent on atoms up to three bonds away is considered to be through bond effects. The α effect (1 bond) is dependent on the relative electronegativities of two adjacent atoms. The β effect (2 bond) is a function of both the electronegativity of the substituent and the polarisability of the atom being considered. The γ effect (3 bond) is non-orientational and a function of the polarisability of the two atoms involved. These partial atomic charges (q) are linearly converted to chemical shifts by eqn. (1).

$$\Delta \delta_{\text{charge}} = 160.84q - 6.68$$  (1)

carbons a C–C anisotropy term was introduced based upon McConnell’s formulation with the magnetic vector pointing along the C–C bond and acting from the midpoint. This was applied to all bonds except those immediately adjacent to the protons being considered (i.e. H–C–C$_2$).

The long range (>3 bonds) effect of a substituent is due to geometry distortion, linear electric field contributions based upon Buckingham’s equation [eqn. (2)] and a steric term

$$\Delta \delta_{\text{steric}} = A_\beta E_{\alpha}$$  (2)

given by eqn. (3). The linear electric field effect of the substituent is

$$\Delta \delta_{\text{elec}} = \Delta \delta_{\text{steric}}$$

The assignment of the major equatorial isomer (82%), where the ring inversion was slow on the NMR timescale and no change was observed to the major form. The 1-eq, 2,6-eq and 3,5-eq multiplets were clearly observable and defined by the COSY–DQF. All the compounds were run in a 50:50 mixture of CDCl$_3$–CFC$_1$$_1$ at ~80°C, while the temperature measured using the thermocouple on the AMX400. Conformer populations were obtained at ~80°C by integration of the 1-position protons. Proton chemical shifts from HETCORR plots are considered accurate to ±0.02 ppm.

Experimental

Bromo-, iodo- and trans-1,2-dibromo-cyclohexane were obtained from Aldrich Ltd. The solvents were obtained commercially, stored over molecular sieves and used without further purification.

¹H and ¹³C spectra were obtained on a Bruker AMX 400 spectrometer operating at 400.14 MHz for proton and 100.63 MHz for carbon. Spectral conditions were identical to those given in ref. 1. In previous calculations the geometries for all molecules were taken from ab initio HF/6-31G* calculations. However, since this basis set is only defined for atoms in the range H to Cl, the geometries of both bromo- and iodo-alkanes were obtained from the molecular mechanics program PCModel. To avoid any inconsistency in the SCS the base alkane values were recalculated using PCModel geometries, yielding only small shift changes (<0.1 ppm).

All the compounds were run in a 50:50 mixture of CDCl$_3$–CFC$_1$$_1$ at ~80°C, where the ring inversion was slow on the NMR timescale and no change was observed to ~90°C, with the temperature measured using the thermocouple on the AMX400. Conformer populations were obtained at ~80°C by integration of the 1-position protons. Proton chemical shifts from HETCORR plots are considered accurate to ±0.02 ppm.

Spectral assignments

Bromocyclohexane

The assignment of the major equatorial isomer (82%, $\Delta G = 0.58$ kcal mol$^{-1}$) was complicated by the overlap of the 2,6-ax, 3,5-ax and 4-ax protons at $\delta$ 1.70–1.85. The 1-ax, 2,6-ax, 3,5-ax and 4-ax positions were confirmed by the COSY–DQF correlations, and the remaining protons distinguished by a HETCORR. The assignments agree with those for bromo- and iodo-cyclohexane.

The minor axial conformer (18%) showed considerable overlap with the major form. The 1-eq, 2,6-ax and 3,5-ax multiplets were clearly observable and defined by the COSY–DQF. The 2,6-ax, 3,5-ax and 4-ax protons were obscured under the...
Table 2  Observed vs. calculated proton chemical shifts (δ) for acyclic bromo- and iodo-alkanes

<table>
<thead>
<tr>
<th>Molecule</th>
<th>X = Br</th>
<th>Obs.ᵃ</th>
<th>Calc.</th>
<th>X = I</th>
<th>Obs.ᵇ</th>
<th>Calc.</th>
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<td>CH₃</td>
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<td>2.79</td>
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<td>2.16</td>
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<tr>
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<td>CH₂</td>
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<td>4.74</td>
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<td>CH₅X</td>
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<td>6.82</td>
<td>6.34</td>
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<td>4.91ᵇ</td>
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<td>5.24ᵇ</td>
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<tr>
<td>CH₃CH₃X</td>
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<tr>
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<td>1.99 (g), 1.99 (t)</td>
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<td>1.01</td>
<td>0.97 (g), 0.99 (t)</td>
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<tr>
<td>CH₄CH₂X</td>
<td>CH₅</td>
<td>—</td>
<td>—</td>
<td></td>
<td>5.21ᵇ</td>
<td>5.10ᵇ</td>
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<tr>
<td>CH₄CH₂X</td>
<td>CH₆</td>
<td>—</td>
<td>—</td>
<td></td>
<td>1.19</td>
<td>0.99</td>
</tr>
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</table>

ᵃ Ref. 17 unless stated.ᵇ Ref. 18.ᶜ Pure liquid, ref. 19.ᵈ Ref. 20.ᵉ Ref. 21.ᶠ (g) gauche, (t) trans conformer.ᵍ Ref. 22.ʰ Ref. 9.

Fig. 1  Experimental (ref. 1, 11 and this work) proton chemical shifts in (a) fluoro-, (b) chloro-, (c) bromo- and (d) iodo-cyclohexanes

multplet at δ 1.70–1.85, and the 4-ax beneath that of the major conformer. The chemical shifts were determined by the HETCOR correlations, with the assignment of H-4 based upon δₜₐₓ > δₑₐₓ in agreement with bromine SCS effects in trans-decalins and steroids.⁴⁵

Iodocyclohexane

The assignment of the major equatorall conformer (80%, ΔG = 0.54 kcal mol⁻¹) was based upon integration and splitting patterns, and confirmed by the COSY–DQF. The 3-ax and 4-ax protons showed some overlap, and surprisingly δₑₐₓ > δₑₑₑ in agreement with bromine SCS effects in trans-decalins and steroids.⁴⁵

In the minor axial conformer (20%) the 1-eq, 2-ax and 2-ax protons were clearly visible. These were confirmed by the COSY–DQF. One triplet of the 4-ax proton’s quartet of triplets could be seen to high field of the 4-ax proton of the major form. The patterns of the 3-ax, 3-eq and 4-ax protons were obscured by overlap with the equatorial conformer, and were defined by a HETCOR. The assignment of δₑₑₑ > δₜₐₓ was based upon the observation of the triplet Jₑₑₑₑₑ (3.2 Hz) at 1.69 ppm, and lack thereof at 1.60 ppm. For the equatorial proton the Jₑₑₑₑₑ couplings is obscured at the resolution obtained by the additional Jₑₑₑₑₑ couplings leading to a broad peak. This assignment is in agreement with the axial-iiodine SCS effects in trans-decalins and steroids.⁴⁵

trans-1,2-Dibromocyclohexane

The assignment of the major diaxial from (76%, ΔG = 0.47 kcal mol⁻¹) was based upon the splitting patterns and confirmed by the COSY–DQF. The 1-ax protons (δ 4.704) correlated to the 3,6-ax (δ 2.445) and 1,2-ax (δ 1.822). These in turn showed couplings to 4,5-ax (δ 1.972) and 4,5-ax (δ 1.638).

For the minor diequatorial conformer (24%) the 1,2-ax (δ 4.084), 3,6-ax (δ 2.536) and 4,5-ax (δ 1.412) protons were visible with the assignment of 3,6-ax (δ 1.93) and 4,5-ax (δ 1.81) protons determined by the COSY–DQF.

Results

Comparison of the bromine and iodine SCS in cyclohexanes with the chlorine SCS given previously (Fig. 1 and Table 9) shows similar contributions. We have therefore adopted the same procedure as for the calculation of chlorine SCS, i.e. the long range effects are due to electric field plus steric effects. Since the charge on the bromine or iodine atom is smaller than on chlorine in the same molecule, but the observed effects are the same or slightly greater [c.f. the SCS for H-3-ax in the axial conformer of 0.60 (Br), 0.53 (I), vs. 0.58 (Cl) ppm, Table 9] the bromine and iodium steric contributions should be somewhat greater than for chlorine.

The linear electric field effect of the substituent has already been characterised and defined from the fluorine and chlorine SCS. Thus for the bromo- and iodo-alkanes the electric field contribution to the bromo and iodo SCS is completely determined. The only unknown parameters for long range SCS are the δₑₑₑ coefficient in eqn. (3) and the push-pull coefficient.
The observed and calculated proton chemical shifts for 9-bromo- and 9-iodo-trans-decalin are given in Table 2 to 9. In Table 2 the values for both conformers are the same, due to the non-orientational nature of the through bond SCS. For both conformers the 3,6-ax in axial-bromocyclohexane (calc. 0.60 ppm) and the 7-syn (7) proton in exo-iodohalobornane (1.11 ppm), yet the 7-anti protons are similarly shielded (+0.74 and +0.68 ppm) and the linear electric field effect is less (cf. CHARGE4 partial atomic charge, I = −0.089 electrons and Br = −0.125 electrons). The relative deshielding of the 7-anti proton may thus be attributed to a smaller iodine push-pull shielding effect.

The observed and calculated SCS in bromo- and iodo-borne and -norboranes are given in Table 5. The steric shielding on the 6-endo (6n) proton in endo-bromobornane (calc. 0.84 vs. obs. 0.91 ppm) and the 7-syn (7s) proton in exo-iodohalobornanes reflect the observed effects. The smaller size of the push-pull coefficient for iodine than bromine can be seen experimentally in the SCS effects of the 7-anti protons. In 2-exo-iodobornane the II-7s is +0.17 ppm, greater than for the iodonorbornane (1.11 ppm), yet the 7-syn protons are similarly shielded (+0.74 and +0.68 ppm) and the linear electric field effect is less (cf. CHARGE4 partial atomic charge, I = −0.089 electrons and Br = −0.125 electrons). The relative deshielding of the 7-anti proton may thus be attributed to a smaller iodine push-pull shielding effect.

TABLE 3. Observed vs calculated SCS (ppm) for trans-1,2-dibromo-cyclohexane

<table>
<thead>
<tr>
<th>Conformer</th>
<th>Diequatorial-</th>
<th>Daxial-</th>
</tr>
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<tbody>
<tr>
<td>1,2-ax (CH)</td>
<td>2.89</td>
<td>3.18</td>
</tr>
<tr>
<td>1,2-eq (CH)</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>3,6-ax</td>
<td>0.74</td>
<td>0.57</td>
</tr>
<tr>
<td>3,6-eq</td>
<td>0.86</td>
<td>0.62</td>
</tr>
<tr>
<td>4,5-ax</td>
<td>0.22</td>
<td>0.10</td>
</tr>
<tr>
<td>4,5-eq</td>
<td>0.13</td>
<td>0.16</td>
</tr>
</tbody>
</table>

*This work. 5 Calc. SCS of cyclohexane (ax = 1.08, eq = 1.69 ppm).

The long range SCS were best fitted by a value of 0.09 ppm (cf. ref. 23, SCS in eqn. (1) of 255.0 with the push-pull coefficient at 50% for bromine and corresponding values of 405.0 and 40% for iodine.

The observed and calculated proton chemical shifts for acyclic molecules and the SCS for cyclic bromo- and iodoalkanes are given in Tables 2 to 9. In Table 2 the values for both the trans and gauche conformers of 1-halo- and 2-methyl-1-halo-propanes are given but for the halothanes the shifts for both conformers are the same, due to the non-orientational nature of the through bond γ effect.

The steric effect in the halocyclohexanes (Tables 3 and 9) e.g. 3,5-ax in axial-bromocyclohexane (calc. 0.60 ppm vs. obs. 0.58 ppm) and in axial-iodocyclohexane (calc. 0.56 vs. obs. 0.53 ppm) well replicates the observed SCS. In 9-substituted-trans-decalins (Table 4) the calculated SCS show slightly greater deviation from the observed, although problems with the geometry have been noted for 9-chloro-trans-decalin.

Schneider reported the anomalous shielding effect in the 9-halo-trans-decalins (for bromine and iodine SCS) on the 10-position protons, and this is supported by the CHARGE4 calculations. In the case of iodine the shielding effect is even greater than the deshielding effect on the 1,8-ax proton, and this data point was thus excluded from the parameterisation of the scheme. Clearly, there is some additional effect occurring through the C–C bridge than is calculated by the CHARGE4 non-orientational γ effect (see later).

The observed and calculated SCS in bromo- and iodo-borne and -norbornanes are given in Table 5. The steric shielding on the 6-endo (6n) proton in endo-bromobornane (calc. 0.84 vs. obs. 0.91 ppm) and the 7-syn (7s) proton in exo-iodohalobornanes reflect the observed effects. The smaller size of the push-pull coefficient for iodine than bromine can be seen experimentally in the SCS effects on the 7-anti protons. In 2-exo-iodobornane the II-7s is +0.17 ppm, greater than for the iodonorbornane (1.11 ppm), yet the 7-syn protons are similarly shielded (+0.74 and +0.68 ppm) and the linear electric field effect is less (cf. CHARGE4 partial atomic charge, I = −0.089 electrons and Br = −0.125 electrons). The relative deshielding of the 7-anti proton may thus be attributed to a smaller iodine push-pull shielding effect.

Considering the uncertainties in the experimental SCS due to signal overlap at the 1,2,4 and 6-positions in the 3-halo-androstanes (Table 6) the calculated SCS are encouraging. On the 5-position protons where the experimental data is more reliable in the 3u-halo substituent the scheme predicts the SCS extremely well.

In 2-bromoadamantane (Table 7) both the calculated γ SCS (calc. +0.26, obs. +0.28 ppm) and the steric effects on the 4,9-ax (calc. +0.64, obs. 0.59 ppm) and push-pull on the 4,9-eq (calc. −0.12, obs. −0.13 ppm) demonstrate the applicability of these terms to the caged structures. The calculated electric field effects on the 8,10-position protons are also in very good agreement with the observed SCS.

The average and rms errors of the observed vs. calculated SCS for the cyclic bromoalkanes studied are 0.07 and 0.10 ppm over the 118 parametrised data points. For the 96 parametrised data points in cyclic iodoalkanes the average and rms errors are only slightly worse at 0.09 and 0.14 ppm.
Table 6  Observed* vs calculated* SCS (ppm) for bromo- and iodo-androstanes

<table>
<thead>
<tr>
<th></th>
<th>X = Br</th>
<th></th>
<th>X = I</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3α-</td>
<td>3β-</td>
<td>3α-</td>
</tr>
<tr>
<td>1α</td>
<td>0.65&lt;sup&gt;c&lt;/sup&gt;</td>
<td>0.55</td>
<td>0.16</td>
</tr>
<tr>
<td>1β</td>
<td>-0.13&lt;sup&gt;c&lt;/sup&gt;</td>
<td>-0.05</td>
<td>0.06</td>
</tr>
<tr>
<td>2α</td>
<td>0.49&lt;sup&gt;c&lt;/sup&gt;</td>
<td>0.51</td>
<td>0.69&lt;sup&gt;c&lt;/sup&gt;</td>
</tr>
<tr>
<td>2β</td>
<td>0.49&lt;sup&gt;c&lt;/sup&gt;</td>
<td>0.55</td>
<td>0.51&lt;sup&gt;c&lt;/sup&gt;</td>
</tr>
<tr>
<td>3α</td>
<td></td>
<td></td>
<td>2.80</td>
</tr>
<tr>
<td>3β</td>
<td>3.05</td>
<td>2.94</td>
<td></td>
</tr>
<tr>
<td>4α</td>
<td>0.43&lt;sup&gt;c&lt;/sup&gt;</td>
<td>0.51</td>
<td>0.58&lt;sup&gt;c&lt;/sup&gt;</td>
</tr>
<tr>
<td>4β</td>
<td>0.43&lt;sup&gt;c&lt;/sup&gt;</td>
<td>0.52</td>
<td>0.58&lt;sup&gt;c&lt;/sup&gt;</td>
</tr>
<tr>
<td>5 (CH)</td>
<td>0.72</td>
<td>0.69</td>
<td>0.12</td>
</tr>
<tr>
<td>6α</td>
<td>0.03&lt;sup&gt;c&lt;/sup&gt;</td>
<td>0.03</td>
<td>0.08&lt;sup&gt;c&lt;/sup&gt;</td>
</tr>
<tr>
<td>6β</td>
<td>0.03&lt;sup&gt;c&lt;/sup&gt;</td>
<td>-0.01</td>
<td>0.08&lt;sup&gt;c&lt;/sup&gt;</td>
</tr>
<tr>
<td>7α</td>
<td>0.09</td>
<td>0.03</td>
<td>-0.01</td>
</tr>
<tr>
<td>7β</td>
<td>0.02</td>
<td>0.01</td>
<td>0.01</td>
</tr>
<tr>
<td>8 (CH)</td>
<td>0.02</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>9 (CH)</td>
<td>0.18</td>
<td>0.04</td>
<td>-0.02</td>
</tr>
<tr>
<td>11α</td>
<td>0.02</td>
<td>0.01</td>
<td>-0.05</td>
</tr>
<tr>
<td>11β</td>
<td>0.02</td>
<td>-0.01</td>
<td>0.05</td>
</tr>
<tr>
<td>12α</td>
<td>0.04</td>
<td>0.02</td>
<td>0.00</td>
</tr>
<tr>
<td>12β</td>
<td>0.03</td>
<td>0.00</td>
<td>0.01</td>
</tr>
<tr>
<td>14 (CH)</td>
<td>0.04</td>
<td>0.02</td>
<td>-0.02</td>
</tr>
<tr>
<td>15α</td>
<td>0.03</td>
<td>0.01</td>
<td>0.00</td>
</tr>
<tr>
<td>15β</td>
<td>0.00</td>
<td>-0.01</td>
<td>0.00</td>
</tr>
<tr>
<td>16α</td>
<td>0.04</td>
<td>0.00</td>
<td>0.02</td>
</tr>
<tr>
<td>16β</td>
<td>-0.02</td>
<td>0.00</td>
<td>-0.02</td>
</tr>
<tr>
<td>17α</td>
<td></td>
<td>0.01</td>
<td>0.00</td>
</tr>
<tr>
<td>17β</td>
<td></td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>18-Me</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>19-Me</td>
<td>0.01</td>
<td>0.01</td>
<td>0.07</td>
</tr>
</tbody>
</table>

* Obs. SCS cf. 3α- and 3β-bromo- and iodo-androstan-17-one, ref. 4.  
* Calc. SCS cf. 3α- and 3β-bromo- and iodo-androstan vs. 5α-androstan, ref. 10.  
* Unresolved.

Table 7  Observed* vs calculated* SCS for bromo- and iodo-adamantanes

<table>
<thead>
<tr>
<th>Proton</th>
<th>X = H, Y = Br</th>
<th></th>
<th>X = H, Y = I</th>
</tr>
</thead>
<tbody>
<tr>
<td>2,8,9</td>
<td>0.62</td>
<td>0.51</td>
<td>0.88</td>
</tr>
<tr>
<td>3,5,7 (CH)</td>
<td>0.23</td>
<td>0.09</td>
<td>0.10</td>
</tr>
<tr>
<td>4,6,10-ax</td>
<td>-0.02</td>
<td>0.06</td>
<td>0.11&lt;sup&gt;c&lt;/sup&gt;</td>
</tr>
<tr>
<td>4,6,10-eq</td>
<td>-0.02</td>
<td>0.06</td>
<td>0.11&lt;sup&gt;c&lt;/sup&gt;</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Calc. SCS cf. adamantane (CH = 1.98, CH<sub>2</sub> = 1.35 ppm).  
* Obs. SCS cf. ref. 6.  
* Shifts cf. ref. 24, SCS cf. adamantane, ref. 25.  
* Shifts cf. ref. 26, SCS cf. adamantane, ref. 25.  
* Unresolved.

**Table 8** Observed* vs. calculated* SCS for dibromo- and diiodo-adamantanes

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1 (CH)</td>
<td>0.28</td>
<td>0.32</td>
<td>0.23</td>
<td>0.37</td>
</tr>
<tr>
<td>2-eq (CH)</td>
<td>2.98</td>
<td>2.74</td>
<td>3.21</td>
<td>2.76</td>
</tr>
<tr>
<td>3 (CH)</td>
<td>0.54</td>
<td>0.51</td>
<td>0.43</td>
<td>0.65</td>
</tr>
<tr>
<td>4-ax (CH)</td>
<td>1.68</td>
<td>1.69</td>
<td>0.35</td>
<td>0.29</td>
</tr>
<tr>
<td>5 (CH)</td>
<td>0.28</td>
<td>0.32</td>
<td>0.27</td>
<td>0.38</td>
</tr>
<tr>
<td>6-anti</td>
<td>−0.13</td>
<td>−0.08</td>
<td>−0.07</td>
<td>−0.08</td>
</tr>
<tr>
<td>6-syn</td>
<td>0.49</td>
<td>0.69</td>
<td>0.61</td>
<td>0.66</td>
</tr>
<tr>
<td>7 (CH)</td>
<td>0.12</td>
<td>0.12</td>
<td>0.09</td>
<td>0.09</td>
</tr>
<tr>
<td>8-anti</td>
<td>0.18*</td>
<td>0.10</td>
<td>0.20*</td>
<td>0.06</td>
</tr>
<tr>
<td>8-syn</td>
<td>0.18*</td>
<td>0.05</td>
<td>0.20*</td>
<td>0.01</td>
</tr>
<tr>
<td>9-ax</td>
<td>0.71</td>
<td>0.63</td>
<td>0.76</td>
<td>0.59</td>
</tr>
<tr>
<td>9-anti</td>
<td>0.02</td>
<td>0.11</td>
<td>0.15</td>
<td>0.14</td>
</tr>
<tr>
<td>10-syn</td>
<td>0.69</td>
<td>0.75</td>
<td>0.80</td>
<td>0.70</td>
</tr>
</tbody>
</table>

*Shifts ref. 27, SCS of adamantane, ref. 25. *Calc. SCS of adamantane (CH = 1.98, CH2 = 1.35 ppm). *Unresolved.

**Table 9** Experimental and calculated SCS in axial and equatorial halocyclohexanes

<table>
<thead>
<tr>
<th>Substituent</th>
<th>H</th>
<th>F</th>
<th>Cl</th>
<th>Br</th>
<th>I</th>
</tr>
</thead>
<tbody>
<tr>
<td>Axial halogen</td>
<td>1-eq</td>
<td>1.68</td>
<td>1.69</td>
<td>3.26</td>
<td>3.41</td>
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<tr>
<td></td>
<td>2-ax</td>
<td>1.19</td>
<td>1.11</td>
<td>0.24</td>
<td>0.30</td>
</tr>
<tr>
<td></td>
<td>2-eq</td>
<td>1.68</td>
<td>1.69</td>
<td>0.35</td>
<td>0.29</td>
</tr>
<tr>
<td></td>
<td>3-ax</td>
<td>1.19</td>
<td>1.11</td>
<td>0.44</td>
<td>0.37</td>
</tr>
<tr>
<td></td>
<td>3-eq</td>
<td>1.68</td>
<td>1.69</td>
<td>0.06</td>
<td>0.06</td>
</tr>
<tr>
<td></td>
<td>4-ax</td>
<td>1.19</td>
<td>1.11</td>
<td>0.09</td>
<td>0.02</td>
</tr>
<tr>
<td></td>
<td>4-eq</td>
<td>1.68</td>
<td>1.69</td>
<td>−0.10</td>
<td>0.07</td>
</tr>
<tr>
<td>Equatorial halogen</td>
<td>1-ax</td>
<td>1.19</td>
<td>1.11</td>
<td>3.30</td>
<td>3.42</td>
</tr>
<tr>
<td></td>
<td>2-ax</td>
<td>1.19</td>
<td>1.11</td>
<td>0.23</td>
<td>0.26</td>
</tr>
<tr>
<td></td>
<td>2-eq</td>
<td>1.68</td>
<td>1.69</td>
<td>0.47</td>
<td>0.29</td>
</tr>
<tr>
<td></td>
<td>3-ax</td>
<td>1.19</td>
<td>1.11</td>
<td>0.09</td>
<td>0.09</td>
</tr>
<tr>
<td></td>
<td>3-eq</td>
<td>1.68</td>
<td>1.69</td>
<td>0.18</td>
<td>0.14</td>
</tr>
<tr>
<td></td>
<td>4-ax</td>
<td>1.19</td>
<td>1.11</td>
<td>−0.07</td>
<td>0.11</td>
</tr>
<tr>
<td></td>
<td>4-eq</td>
<td>1.68</td>
<td>1.69</td>
<td>−0.03</td>
<td>0.09</td>
</tr>
</tbody>
</table>


**Contributions to the proton SCS in halocyclohexanes**

The above excellent agreement of the observed and calculated bromine and iodine SCS clearly demonstrates the general applicability of the scheme to the calculation of proton chemical shifts in halo-substituted alkanes. This agreement allows us to consider in more detail the proton chemical shifts in all the halocyclohexanes. The observed shifts are shown in Fig. 1 and the observed and calculated SCS are given in Table 9. The CHARGE4 scheme predicts the halogen SCS to within a rms of 0.088 ppm over the 62 data points in Table 9 (excluding the H-2-ax/H-2-eq in axial iodocyclohexane). While a better fit may be possible for the above data points alone, the scheme represents an average over a range of molecular systems and as such the conclusions derived herein should be applicable to a wide range of haloalkanes.

On the basis of this good agreement it is possible to examine the relative size of the various contributions to the proton chemical shift of halocyclohexanes. It is first necessary to consider the contributions for cyclohexane itself and these are given in Table 10 taken from ref. 10.

Note that the calculated charge density is the same for the axial and equatorial protons. This is because the inductive term in CHARGE4 is non-orientational, thus the value for axial or equatorial substituents or the ring carbons is identical. The only other effect on the equatorial protons is the C–C
Table 10 Contributions to the calculated shifts of the protons in cyclohexane

<table>
<thead>
<tr>
<th>Proton</th>
<th>Axial</th>
<th>Equatorial</th>
</tr>
</thead>
<tbody>
<tr>
<td>Charge</td>
<td>1.550</td>
<td>1.550</td>
</tr>
<tr>
<td>H⋅⋅⋅H steric</td>
<td>-0.188</td>
<td>0.000</td>
</tr>
<tr>
<td>C-C anisotropy</td>
<td>-0.168</td>
<td>0.141</td>
</tr>
<tr>
<td>C-H linear electric field</td>
<td>-0.086</td>
<td>0.000</td>
</tr>
<tr>
<td>Total calculated</td>
<td>1.107</td>
<td>1.691</td>
</tr>
<tr>
<td>Experimental</td>
<td>1.19</td>
<td>1.68</td>
</tr>
</tbody>
</table>

Fig. 2 The charge contribution to the chemical shift of cyclohexanes. Charge contributions: (○) δ total charge [1-ax/1-eq], (●) δ halogen charge [1-ax/1-eq], (△) δ total charge [2-ax/2-eq], (●) δ total charge [3-ax/3-eq/4-ax/4-eq] and (■) δ halogen charge [2-ax/2-eq].

Fig. 3 The steric and push-pull contributions to the chemical shift of halocyclohexanes. Steric contributions: (○) δ X⋅⋅⋅H steric [3-ax], (△) δ total steric [3-ax], (●) δ X⋅⋅⋅H push-pull [3-eq] and (■) δ total steric [4-ax].

**Steric contributions**

The steric function [eqn. (3)] does not operate on the β and γ protons, thus the only protons in cyclohexanes perturbed by the halogen substituent are H-3,5-ax in axial halocyclohexanes. For all the other axial protons the steric term is the cyclohexane H⋅⋅⋅H shielding at ca. -0.2 ppm (Table 10). For the equatorial protons the natural cut-off (r_{mn}) results in no steric contributions. Steric deshielding of H-3-ax and push-pull shielding on H-3-eq results in d_{ax} < d_{eq} for H-3-ax and H-3-eq in axial chloro-, bromo- and iodo-cyclohexane in contrast to the usual situation, mirroring the experimental data (Fig. 1).

The X⋅⋅⋅H steric contribution in the 1,3-syn-diaxial orientation increases with halogen size (Fig. 3) from 0.00 ppm for fluorine to +0.26 ppm for iodine, but the total steric term on H-3-ax also includes one H⋅⋅⋅H shielding effect as one of the 1,3-syn-diaxial H⋅⋅⋅H interactions in cyclohexane is replaced by an X⋅⋅⋅H deshielding term. Thus the overall effect for fluorine is shielding, but deshielding for the other halogens.

H-3-eq experiences a ‘push-pull’ effect which is a function of the deshielding effect on H-3-ax. Consequently, for fluorine this is zero, but for Cl, Br and I the proportionality constants vary to give an approximately constant contribution at ca. -0.1 ppm.

**Electric field contributions**

In eqn. (2) the component of the electric field along the bond (E_j) is dependent upon the relative orientation of the C-X and H-C bonds, as well as the halogen atom charge and X⋅⋅⋅H distance. The charge on the halogen decreases in the order F > I > Br > Cl (Fig. 1). This was noted earlier for the average chemical shifts of the β methine protons in halocyclohexanes at room temperature and attributed to an increase in the contribution of possible resonance forms.3 In the CHARGE4 scheme this ordering is catered for by two opposing through bond effects. The β charge term is given by a general electronegativity term, with an explicit correction for the heavy halogen atoms in the order I > Br > Cl.

In contrast the deshielding effect of the halogen at the γ protons, i.e. H-2-ax and H-2-eq, increases in the order F < Cl < Br < I for the equatorial conformer, but in the axial form H-2-eq is essentially constant at δ 2.04 and H-2-ax is anomalous in axial iodo-cyclohexane (Fig. 1). There is also no obvious orientational dependence of the γ SCS supporting the CHARGE4 treatment. In CHARGE4 the γ effect is proportional to the polarisability of the substituent and this simple relationship gives generally good agreement with the observed SCS.

For β and γ protons this is the only factor in the halogen SCS. There are small indirect ring deformation contributions amounting to ca. −0.03 to 0.02 ppm due to the variation of the other factors. For the more distant protons there is no charge effect of the substituent in CHARGE4 and the charge term has the cyclohexane ring value of 1.55 ppm. The SCS on these protons are thus due to the other contributions.

is observed from 0.24 ppm for fluorine \((q = -0.213 \text{ electrons})\) to 0.14 ppm for iodine \((q = -0.098 \text{ electrons})\) [Fig. 4(a)]. For H-3-ax and H-4-ax the SCS also include one C–H electric field contribution from the missing proton. This contribution is shielding at ca. 0.04 ppm per 1,3-syn C–H bond. For the equatorial protons the cut-off eliminates all C–H linear electric field contributions.

For the more distant protons the C–X electric field contribution is much smaller. The contribution to H-3-ax is virtually identical to H-4-equ and about twice that of H-3-equ. The increase in the C–X bond length down the group and variations in ring deformation due to the 1,3-syn-diaxial halogen–hydrogen interactions, particularly for Cl vs. F, compensate for the charge decrease. Hence, the contribution for H-3-equ is ca. 0.05 to 0.06 ppm, and for H-4-ax ca. 0.02 to 0.03 ppm for all halogen substituents.

A detailed account of the contributions to the SCS of H-3-ax and H-3-equ in axial halocyclohexanes is given in Table 11.

It can be seen that the removal of the proton by the axial substituent contributes a significant proportion of the SCS at ca. 0.12 ppm, and this should not be neglected in any comprehensive analysis of SCS effects. There is also a slight change in the C–C anisotropy contribution due to ring deformation. The electric field term is larger than the steric term for fluorine and chlorine, but for bromine and iodine this is reversed.

For H-3-equ in Table 11 the C–X electric field is of the opposite sign to the observed SCS for all but fluorine. However, the larger shielding push-pull contribution for the heavier halogen atoms gives the overall observed shielding.

The chloro-, bromo- and iodo-steric terms are compared in Fig. 5. As expected the steric contribution has I > Br > Cl for any given distance. Some illustrative points are marked on each curve representing increasing distances in the order: the 5-endohalogen-endo (in 3-endohalogen-endo), the 3-axial halogen-endo, and the 7-syn proton in exo-halo-norbornanes. The calculated steric effect on H-3-ax is similar to that on H-7-syn, and this matches with the observed SCS. The chloro-

| Table 11 | Contributions to the SCS of the 3-ax and 3-equ protons in axial halocyclohexanes |
|----------|-------------------|---|---|---|
| **Halogen** | **Contribution** |
| 3-Axial | F | Cl | Br | I |
| C-X electric | 0.237 | 0.201 | 0.178 | 0.136 |
| X···H steric | 0.000 | 0.151 | 0.228 | 0.258 |
| Δ(C−H electric) | 0.043 | 0.045 | 0.045 | 0.045 |
| ΔH···H steric | 0.092 | 0.099 | 0.111 | 0.107 |
| Δ(C−C anisotropy) | 0.000 | 0.011 | 0.015 | 0.013 |
| Total calculated | 0.371 | 0.305 | 0.358 | 0.558 |
| Experimental | 0.44 | 0.38 | 0.60 | 0.53 |
| 3-Equatorial | C-X electric | 0.054 | 0.067 | 0.062 | 0.053 |
| X···H push-pull | 0.000 | -0.113 | -0.114 | -0.103 |
| Δ(C−C anisotropy) | 0.001 | 0.004 | 0.002 | 0.004 |
| Total calculated | 0.055 | -0.043 | -0.050 | -0.047 |
| Experimental | 0.06 | -0.13 | -0.08 | -0.07 |

SCS\(^{1,9}\) for H-3-ax is +0.54 ppm whilst that for H-7-syn is +0.59 ppm.

In contrast H-5-endo is much closer to the halogen substituent with a larger calculated steric contribution, and the observed SCS is greater at +0.84 ppm. The distances for similar systems such as halo-trans-decalins and androstanes lie within the range shown above.

For the syn-1,3-diaxial protons the major contribution to the halo (X = Cl, Br and I) SCS is thus the steric contribution, although the C–X electric field contribution is not negligible. From Table 11 it can amount to over half the value of the steric term, and the contribution from the removal of the proton needs also to be accounted for. The effect on close through space protons in Fig. 5 (>2.6 Å) is calculated to increase rapidly, although this remains to be substantiated by experimental data.

The importance of the linear electric field is thus evident for the other ‘long range’ protons, as the steric function has an intrinsic cut-off. This agrees with the analyses of Schneider on trans-decalins and steroids. Whether the electric field term is subject to some shielding effect due to obstructing bonds would require a more extensive analysis. The 4-position protons in fluorocyclohexanes (see Table 9) are in poor agreement with the calculated SCS and neglecting any electric field term would improve the fit. There may be solvent effects\(^{11}\) in this case as the reaction electric field effect would be greatest for the substituent...
with the largest halogen charge but in general solvent effects are expected to be minimal as all samples were run in low concentration in non-polar solvents (50:50 CDCl₃-CFCl₃).

## Discussion

Bothner-By and Naar-Colin have noted unusual SCS on β protons in isopropyl and cyclohexyl halides (RR’CHX) which were inconsistent with the halogen electronegativity alone. They attributed this in part to the increase of the contribution of possible resonance forms. Further, the importance of these forms would increase with increasing atomic number of the halogen and with increasing branching on the α-carbon. This effect is reproduced in this study, and accounted for by an enhancement of the bromine and iodine β effects on methine protons, and for iodine on methylene protons. Such effects are greater for iodine than for bromine, and the effect of two β carbons (RR’CHX) greater than for one (RCXY).

This argument may also be applied to the γ protons, although only the unusual 10 position proton SCS effects in 9-bromo- and 9-iodo-trans-decalin show obvious shielding. Here, the α- and β-carbons across the bridge are surrounded by the maximum number of carbons i.e. X−C(CHR)−C(CHR)−H increasing steric compression and possibly these contributions.

Determination of the bromine and iodine steric coefficients, along with the chlorine, and average hydrogen and carbon values allows comparison with previously derived van der Waal’s terms. Abraham and Holker calculated the intermolecular van der Waal’s equation of Raynes et al. in eqn. (4), where a and I are the σᵥᵥDW = −3Bα/ρ (4)
polarisability and ionisation potential of the substituent. They derived a value of 163 ppm Å for the methyl group using a B value of 1.0 × 10⁻¹⁸ esu. Later determinations of the dispersion constant (B) by Tribble et al. empirically, and the shielding hyperpolarisability by Grayson and Raynes using finite-field SCS calculations, suggested values of 0.27 and 0.23 × 10⁻¹⁸ esu. These results suggest a more realistic value of 383 of ca. 42 ppm Å⁻¹.

For the polar substituents (Cl, Br and I) the αₛ coefficients are 150.0, 255.0 and 405.0 ppm Å⁻¹ compared to ca. 100 ppm Å⁻¹ calculated by eqn. (4). These are of the same order of magnitude, in contrast to the quadratic electric field which produced results two orders of magnitude too small to account for the CHARGE4 sterical term.

Furthermore, the ratios of the Cl, Br and I αₛ coefficients of 1:1.7:2.7 are similar to the ratios of the polarisabilities (1:1.54:2.55), but not the first ionisation energies (1:0.91:0.82) again supporting the origin of this term as due to van der Waal’s interactions and not to the quadratic electric field. This relationship could also be useful in determining the steric effect for other substituents where the polarisability of the atom is known.

In contrast to the results of eqn. (4) the shielding coefficient for carbon has an average value of 260.0 ppm Å⁻¹. One problem with this comparison is the interdependence of the carbon and hydrogen coefficients, since both terms are invariably involved in methyl group effects. In the CHARGE4 scheme the H···H steric interactions are shielding in contrast to a deshielding effect predicted from eqn. (4). Thus the carbon αₛ value would be expected to be more deshielding than the sum of the coefficients, 3Bα from eqn. (4).

The decrease in the size of the push-pull coefficient for the halogen atoms (Cl = 75, Br = 50 and I = 40%) may be a consequence of the increased polarisability of the atom. Alternatively, the angle between the halogen atom and the affected H−C−H bond may be important. For instance, in 2-exo-halonorbornanes the X−H−C−H angle increases from 97.6° for the chloro substituent to 100.0° for bromine and 101.6° for iodine, partly as a consequence of the increased C−X (X = Cl, Br and I) bond length. However, more data would be needed to substantiate this hypothesis.

## Conclusion

The CHARGE4 scheme has been successfully extended to calculate the proton chemical shifts of bromo- and iodo-alkanes. The charge contribution to the halogen SCS is dominant for β and γ protons. For more distant protons the largest contribution is the C−X steric term when the proton is in a syn-1,3-diaxial arrangement to the halogen. For other distant protons the C−X electric field term is dominant. However, a complete analysis requires a combination of all three terms.

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